

PATENT  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Margrave et al.

Serial No.: 09/787,473

Filing Date: 16 Mar 2001

Confirmation No.: 2529

Art Unit: 1754

Examiner: Stuart L. Hendrickson

Title: CHEMICAL DERIVATIZATION OF SINGLE WALL CARBON  
NANOTUBES TO FACILITATE SOLVATION THEREOF, AND USE  
OF DERIVATIZED NANOTUBES

## CERTIFICATE OF MAILING (37 C.F.R. 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on December 24, 2003.

*Angela Franklin*  
ANGELA FRANKLIN

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**SECOND AMENDMENT UNDER 37 C.F.R. § 1.111**

Sir:

This is in response to the Office Action having a mailing date of August 26, 2003 (Paper No. 10), with a three-month shortened statutory period set to expire on November 26, 2003, and extended one month until December 26, 2003 by the attached Petition for Extension of Time and fee filed concurrent herewith.

**Remarks/Arguments** begin on page 2 of this paper.